ELECTRIC RULE 22

DISTRIBUTED GENERATION FACILITIES INTERCONNECTION

A. APPLICABILITY

Applicability. This Rule describes the Interconnection, operating and metering requirements for Generating Facilities to be connected to the Riverside Public Utilities (RPU) Distribution System. Subject to the requirements of this Rule, RPU will allow the Interconnection of Generating Facilities with its Distribution System.

Definitions: Capitalized terms used in this Rule, and not otherwise defined, shall have the meaning ascribed to such terms in Section H. The definitions in this Rule shall only apply to this Rule and may not apply to RPU’s other rate schedules.

B. GENERAL RULES, RIGHTS AND OBLIGATIONS

1. Authorization Required to Operate. A Producer must comply with this Rule, execute an Interconnection Agreement with RPU, and receive RPU’s express written permission to operate a Generating Facility in parallel with the Distribution System. RPU shall apply this Rule in a non-discriminatory manner and shall not unreasonably withhold its permission to interconnect an electric Producer’s Generating Facility.

2. Separate Arrangements Required for Other Services. A Producer requiring other electric services from RPU including, but not limited to, Distribution Service during periods of curtailment or interruption of a Generating Facility, must enter into separate arrangements with RPU for such services, in accordance with RPU Board and City Council approved rate schedules.

3. Transmission Service Not Provided with Interconnection. Interconnection with RPU’s Distribution System under this Rule does not provide a Producer any rights to utilize RPU’s Distribution System for the transmission or distribution, or wheeling of electric power, nor does it limit those rights.

4. Compliance with Laws, Rules, and Tariffs. A Producer shall ascertain and comply with RPU rules, rate schedules, and regulations of RPU; applicable Federal Energy Regulatory Commission approved rules, tariffs, and regulations; and any local, state or federal law, statute or regulation which applies to the design, siting, construction, installation, operation, or any other aspect of the Producer’s Generating Facility and Interconnection Facilities.
5. **Design Reviews and Inspections.** RPU shall have the right to review the design of a Producer’s Generating Facility and Interconnection Facilities and to inspect a Producer’s Generating and Interconnection Facilities prior to the commencement of Parallel Operation with RPU’s Distribution System. RPU may require a Producer to make modifications as necessary to comply with the requirements of this Rule. RPU’s review and authorization for Parallel Operation shall not be construed as confirming or endorsing the Producer’s design or as warranting the Generating or Interconnection Facilities’ safety, durability or reliability. RPU shall not, by reason of such review or lack of review, be responsible for the strength, adequacy, or capacity of such equipment.

6. **Right to Access.** A Producer’s Generating Facility and Interconnection Facilities shall be reasonably accessible to RPU personnel as necessary for RPU to perform its duties and exercise its rights under its rate schedules and rules, and any Interconnection Agreement between RPU and the Producer.

7. **Confidentiality of Information.** Any information pertaining to Generating or Interconnection Facilities provided to RPU by a Producer shall be treated by RPU in a confidential manner. RPU shall not use information contained in the Application to propose discounted rates to the Customer unless authorized to do so by the Customer or the information is provided to RPU by the Customer through other means.

8. **Prudent Operation and Maintenance Required.** A Producer shall operate and maintain its Generating Facility and Interconnection Facilities in accordance with Prudent Electrical Practices and shall maintain compliance with this Rule.

9. **Curtailment or Disconnection.** RPU may limit the operation or disconnect or require the disconnection of a Producer’s Generating Facility from RPU’s Distribution System at any time, with or without notice, in the event of an Emergency, or to correct Unsafe Operating Conditions. However, RPU must provide written notice as soon as possible following such disconnect. RPU may also limit the operation or disconnect or require the disconnection of a Producer’s Generating Facility from RPU’s Distribution System upon the provision of reasonable written notice: 1) to allow for routine maintenance, repairs or modifications to RPU’s Distribution System; 2) upon RPU’s determination that a Producer’s Generating Facility is not in compliance with this Rule; or, 3) upon termination of the Interconnection Agreement. Upon the Producer’s written request RPU shall provide a written explanation of the reason for such curtailment or disconnection.
C. APPLICATION AND INTERCONNECTION PROCESS

1. Application Process

a. Applicant Initiates Contact with RPU. Upon request, RPU will provide information and documents (such as sample agreements, the Application, technical information, listing of Certified Equipment, application fee information, applicable rate schedules and metering requirements) in response to a potential Applicant’s inquiry. Unless otherwise agreed upon, all such information shall normally be sent to an Applicant within three (3) business days following receipt of the initial request from the Applicant. RPU will establish an individual representative as the single point of contact for an Applicant, but may allocate responsibilities among its staff to best coordinate the Interconnection of an Applicant’s Generating Facility.

b. Applicant Completes and Files an Application. All Applicants shall be required to complete and file an Application and supply any relevant additional information requested by RPU. The filing must include the completed Application and a fee for processing the application and performing the Initial Review to be completed by RPU pursuant to Section C.1.c. The application fee shall vary with the type of the proposed Generating Facility as follows:

<table>
<thead>
<tr>
<th>Type of Service</th>
<th>Initial Review</th>
<th>Supplemental Review</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Energy Metering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(per Public Utilities Code Section 2827)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Residential</td>
<td>$225</td>
<td>None</td>
</tr>
<tr>
<td>- Commercial</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flat &amp; Demand</td>
<td>$360</td>
<td>None</td>
</tr>
<tr>
<td>TOU</td>
<td>$865</td>
<td>None</td>
</tr>
<tr>
<td>All others</td>
<td>$800</td>
<td>$600 (additional)</td>
</tr>
</tbody>
</table>

Fifty percent of the fees associated with the Initial Review will be returned to the Applicant if the Application is rejected by RPU or the Applicant retracts the Application.
The Applicant may propose and RPU may negotiate specific costs for processing non-standard applications such as multi-units, multi-sites, or otherwise as conditions warrant. The costs for the Initial Review and the Supplemental Review contained in this Section, as well as the language provided in Sections C.1.c and C.1.d, do not apply under these circumstances.

Within ten (10) business days of receiving an Application, RPU shall normally acknowledge its receipt and state whether the Application has been completed adequately. If deficiencies are noted, RPU and Applicant shall cooperate in a timely manner to establish a satisfactory Application.

c. **RPU Performs an Initial Review and Develops Preliminary Cost Estimates and Interconnection Requirements.**

   (1) Upon receipt of a satisfactorily completed Application and any additional information necessary to evaluate the Interconnection of a Generating Facility, RPU shall perform an Initial Review using the process defined in Section I. The Initial Review determines if (a) the Generating Facility qualifies for Simplified Interconnection, (b) the Generating Facility can qualify for Interconnection subject to additional requirements, or (c) it will be necessary for RPU to perform an Interconnection Study to determine the Interconnection requirements.

   (2) The RPU shall complete its Initial Review, absent any extraordinary circumstances, within 10 business days, upon determination that the Application is complete, if the Generating Facility qualifies for Simplified Interconnection. If the Initial Review determines that the proposed facility can be Interconnected by means of a Simplified Interconnection, RPU will provide the Applicant with a written description of the requirements for Interconnection and a draft Interconnection Agreement pursuant to Section C.1.e.

   (3) If the Generating Facility does not qualify for Simplified Interconnection as proposed, RPU will notify the Applicant and perform a Supplemental Review as described in Section I. The Supplemental Review will provide either (a) Interconnection Requirements beyond those for Simplified Interconnection, and a draft Interconnection Agreement, or (b) a cost estimate and schedule for an Interconnection Study. The Supplemental Review shall be completed, absent any extraordinary circumstances, within 20 business days upon determination that the Application is complete. Payment for the Supplemental
Review shall be submitted to RPU within 10 calendar days after the results of the Supplemental Review are provided to the Applicant.

d. **When Required, Applicant and RPU Commit to Additional Interconnection Study Steps.** When an Initial Review reveals that the proposed facility cannot be interconnected to RPU's Distribution System by means of a Simplified Interconnection, or that significant RPU Interconnection Facilities or Distribution System improvements must be installed or made to RPU's Distribution System to accommodate the Interconnection of an Applicant's Generating Facility, RPU and Applicant shall enter into an agreement that provides for RPU to perform additional studies, facility design, and engineering and to provide detailed cost estimates for fixed price or actual cost billing, to the Applicant at the Applicant's expense. The Interconnection Study agreement shall set forth RPU's schedule for completing such work and the estimated or fixed price costs of such studies and engineering. Upon completion of an Interconnection Study, RPU shall provide the Applicant with the specific requirements, costs and schedule for interconnecting the Generating Facility to accommodate execution of agreements pursuant to Section C.1.e.

e. **Applicant and RPU Enter Into a Generation Interconnection Agreement and, Where Required, a Financing and Ownership Agreement for Interconnection Facilities or Electric System Modifications.** The RPU shall provide the Applicant with an executable version of the Generating Facility Interconnection Agreement, Net Energy Metering agreement, or Power Purchase Agreement appropriate for the Applicant’s Generating Facility and desired mode of operation. Where the Initial Review or Interconnection Study performed by RPU has determined that modifications or additions are required to be made to its electric system, or that additional metering, monitoring, or protection devices will be necessary to accommodate an Applicant’s Generating Facility, RPU may also provide the Applicant with other Interconnection Facilities financing and ownership agreements. These agreements shall set forth the Applicant’s responsibilities, completion schedules, and estimated or fixed price costs for the required work.

f. **Where Applicable, RPU or Producer Installs Required Interconnection Facilities or Modifies RPU’s Distribution System.** After executing the applicable agreements, RPU or Producer will commence construction/installation of the modifications or metering and monitoring requirements identified in the agreements. The parties will use good faith efforts to meet the schedules and cost estimates.
g. **Producer Arranges for and Completes Commissioning Testing of Generating Facility and, Where Applicable, Producer Installed Interconnection Facilities.** The Producer is responsible for testing new Generating Facilities and associated Interconnection Facilities, according to Section J.5 to ensure compliance with the safety and reliability provisions of this Rule, and RPU rules and regulations prior to being operated in parallel with RPU’s Distribution System.

h. **RPU Authorizes Parallel Operation or Momentary Parallel Operation.** The Producer’s Generating Facility shall be allowed to operate in Parallel Operation or Momentary Parallel Operation, as applicable, with RPU’s Distribution System upon satisfactory compliance with the terms of all applicable agreements and express written permission. Compliance may include, but not be limited to, provision of any required documentation and satisfactorily completing any required inspections or tests as described herein or in the agreements formed between the Producer and RPU.

i. **RPU Reconciles Costs and Payments.** If the Producer selected a fixed price billing for the Interconnection Facilities or Distribution System modifications, no reconciliation will be necessary. If the Producer selected actual cost billing, a true-up will be required. RPU will reconcile its actual costs related to the Producer’s facility against any advance payments made by the Producer for Interconnection Facilities or Distribution System modifications. The Producer will receive either a bill for any balance due or a reimbursement for overpayment as determined by RPU’s reconciliation. The Producer shall be entitled to a reasonably detailed and understandable report detailing RPU’s reconciliation process.

### D. GENERATING FACILITY DESIGN AND OPERATING REQUIREMENTS

1. **General Interconnection and Protection Requirements**

   a. **Protective Functions Required.** The Protective Functions for Generating Facilities operating in parallel with RPU’s Distribution System shall include:

      (1) Over and under voltage trip functions and over and under frequency trip functions;

      (2) A means for disconnecting the Generating Facility from RPU’s Distribution System when a Protective Function initiates a trip;
(3) An automatic means to prevent the Generating Facility from energizing a de-energized Distribution System circuit and to prevent the Generating Facility from reconnecting with the Distribution System unless the Distribution System service voltage and frequency is of specified settings and is stable for at least 60 seconds;

(4) A means to prevent the Generating Facility from contributing to the formation of an Unintended Island.

b. **Momentary Paralleling Generating Facilities.** With RPU’s approval, the transfer switch or system used to transfer the Producer’s loads from RPU’s Distribution System to Producer’s Generating Facility may be used in lieu of the Protective Functions required for Parallel Operation.

c. **Purpose of Protective Functions.** The Protective Functions and requirements of this Rule are designed to protect RPU’s Distribution System and not the Generating Facility. A Producer shall be solely responsible for providing adequate protection for its Generating Facility and Interconnection Facilities. The Producer’s protective equipment shall not impact the operation of other protective devices utilized on the Distribution System in a manner that would affect RPU’s capability of providing reliable service to its Customers.

d. **Suitable Equipment Required.** Circuit breakers or other interrupting devices located at the Point of Common Coupling must be Certified or “Listed” (as defined in Article 100, the Definitions Section of the National Electrical Code) as suitable for their intended application. This includes being capable of interrupting the maximum available fault current expected at their location. Producer’s Generating Facility and Interconnection Facilities shall be designed so that the failure of any one device shall not potentially compromise the safety and reliability of RPU’s Distribution System.

e. **Visible Disconnect Required.** The Producer shall furnish and install a manual disconnect device that has a Visible Disconnect to isolate the Generating Facility from RPU’s Distribution System. The device must be accessible to RPU personnel and be capable of being locked in the open position. Generating Facilities with Non-Islanding inverters totaling 1 kVA or less are exempt from this requirement.

f. **Single-Phase Generators.** For single-phase Generators connected to a shared single-phase secondary system, the maximum Net Nameplate Rating of the Generating Facilities shall be 20 kVA.
Generators applied on a center-tapped neutral 240-volt service must be installed such that no more than 6 kVA of imbalance in capacity exists between the two sides of the 240-volt service. For Dedicated Distribution Transformer services, the maximum Net Nameplate Rating of a single-phase Generating Facility shall be the transformer nameplate rating.

g. **Drawings Required.** RPU, prior to Parallel Operation or Momentary Parallel Operation of the Generating Facility, shall approve the Producer’s protection and control diagrams of the Generating Facility. Generating Facilities equipped with a protection and control scheme previously approved by RPU for system-wide application or with Certified Equipment only may satisfy this requirement by reference to previously approved drawings and diagrams.

h. **Generating Facility Conditions Not Identified.** In the event this Rule does not address the Interconnection requirements for a particular Generating Facility, RPU and Producer may agree upon other requirements.

2. **Prevention of Interference.**
The Producer shall not operate equipment that superimposes upon RPU’s Distribution System a voltage or current that interferes with RPU operations, service to RPU Customers, or RPU communication facilities. If such interference occurs, the Producer must diligently pursue and take corrective action at its own expense after being given notice and reasonable time to do so by RPU. If the Producer does not take timely corrective action, or continues to operate the equipment causing interference without restriction or limit, RPU may, without liability, disconnect the Producer’s equipment from the Distribution System, in accordance with Section B.9 of this Rule.

To eliminate undesirable interference caused by operation of the Generating Facility, each Generating Facility shall meet the following criteria:

a. **Normal Voltage Operating Range.** The voltage operating range limits for Generating Facilities shall be selected as a protection function that responds to abnormal Distribution System conditions and not as a voltage regulation function.

   (1) **Generating Facilities (11 kVA or less).** Generating Facilities with a Gross Nameplate Rating 11 kVA or less shall be capable of operating within the limits normally experienced on the Distribution System. The operating range shall be selected in a manner that minimizes nuisance tripping between 106 volts and 132 volts (88-110% of Nominal Voltage) on a 120-volt base.
Generating Facilities shall cease to energize RPU circuits whenever the voltage at the Point of Common Coupling deviates from the allowable voltage operating range.

(2) **Generating Facilities (Greater than 11 kVA).** RPU may have specific operating voltage ranges for Generating Facilities with Gross Nameplate Ratings greater than 11 kVA and may require adjustable operating voltage settings. In the absence of such requirements, the Generating Facility shall operate at a range between 88% and 110% of the applicable Interconnection voltage.

(3) **Voltage Disturbances.** System voltage assumes a nominal 120 V base. The Generator should sense abnormal voltage and respond accordingly. The following conditions should be met, with voltages in root mean square and measured at the Point of Common Coupling, as described in Table D-1.

<table>
<thead>
<tr>
<th>Voltage at Point of Common Coupling (Assuming 120V base)</th>
<th>Maximum Trip Setting (Assuming 60 cycles per Second)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than 60 Volts</td>
<td>10 Cycles</td>
</tr>
<tr>
<td>Greater than or equal to 60 Volts but less than 106 Volts</td>
<td>120 Cycles</td>
</tr>
<tr>
<td>Greater than or equal to 106 Volts but less than or equal to 132 Volts</td>
<td>Normal Operation</td>
</tr>
<tr>
<td>Greater than 132 volts But less than or equal to 165 Volts</td>
<td>120 cycles (30 cycles for facilities greater than 11 kVA)</td>
</tr>
<tr>
<td>Greater than 165 Volts</td>
<td>6 cycles</td>
</tr>
</tbody>
</table>

*"Maximum Trip time" refers to the time between the onset of the abnormal condition and the Generating Facility ceasing to energize the Distribution System. Protective Function sensing devices and circuits may remain connected to the Distribution System to allow sensing of electrical conditions for use by the "reconnect" feature. The purpose of the time delay is to allow Generating Facility to "ride through" short-term disturbances to avoid nuisance tripping. For Generating Facilities with a Gross Nameplate Rating of 11 kVA or less, the set points are to be non-user adjustable. For Generating Facilities with a Gross Nameplate Rating greater than 11 kVA, different voltage set points and trip times from those in Table D-1 may be negotiated with RPU.*
b. **Flicker.** Any voltage flicker at the Point of Common Coupling caused by the Generating Facility should not exceed the limits defined by the “Maximum Borderline of Irritation Curve” identified in IEEE 519 (IEEE Recommended Practices and Requirements for Harmonic Control in Electric Power Systems, IEEE STD 519-1992, Institute of Electrical and Electronic Engineers, Piscataway, NJ April 1992.) This requirement is necessary to minimize the adverse voltage effects experienced by other Customers on RPU’s Distribution System. Induction Generators may be connected and brought up to synchronous speed (as an induction motor) provided these flicker limits are not exceeded.

c. **Frequency.** RPU controls system frequency, and the Generating Facility shall operate in synchronism with the Distribution System. Generating Facilities with a Gross Nameplate Rating of 11 kVA or less shall have a fixed operating frequency range of 59.3-60.5 Hz. The Generating Facility must cease to energize RPU’s Distribution System in a maximum of ten cycles should Distribution System remain outside of the frequency limits. The purpose of the time delay is to allow the Generating Facility to ride through short-term disturbances to avoid nuisance tripping. RPU may require adjustable operating frequency settings for Generating Facilities with a Gross Nameplate Rating greater than 11 kVA.

d. **Harmonics.** Harmonic distortion shall be in compliance with IEEE 519. Exception: The harmonic distortion of a Generating Facility located at a Customer’s site shall be evaluated using the same criteria as for the loads at that site.

e. **Direct Current Injection.** Generating Facilities should not inject direct current greater than 0.5% of rated output current into RPU’s Distribution System.

f. **Power Factor.** Each Generator in a Generating Facility shall be capable of operating at some point within a power factor range of 0.9 leading and 0.9 lagging. Operation outside this range is acceptable provided the reactive power of the Generating Facility is used to meet the reactive power needs of on-site loads or that reactive power is otherwise provided under tariff by RPU. The Producer shall notify RPU if it is using the Generating Facility for power factor correction.
3. Control, protection and safety equipment requirements
   
a. Technology Specific Requirements

(1) **Three-phase Synchronous Generators.** For three-phase Generators, the circuit breakers shall be three-phase devices with electronic or electromechanical control. The Producer shall be responsible for properly synchronizing its Generating Facility with the Distribution System by means of either a manual or automatic synchronizing function. Automatic synchronizing is required for all synchronous Generators, which have a Short Circuit Contribution Ratio (SCCR) exceeding 0.05. A Generating Facility whose SCCR exceeds 0.05 shall be equipped with Protective Functions suitable for detecting loss of synchronism and rapidly disconnecting the Generating Facility from the Distribution System. Unless otherwise agreed to between the Producer and RPU, synchronous Generators shall automatically regulate power factor, not voltage, while operating in parallel with the Distribution System. Power system Stabilization functions are specifically not required for Generating Facilities under 10 MW Net Nameplate Rating. Synchronization means that at the time of connection, the frequency difference shall be less than 0.2 Hz, the voltage difference shall be less than 10%, and the phase angle difference shall be less than 10 degrees.

(2) **Induction Generators.** Induction Generators do not require a synchronizing function. Starting or rapid load fluctuations on induction Generators can adversely impact the Distribution System’s voltage. Corrective step-switched capacitors or other techniques may be necessary and may cause undesirable ferroresonance. When these counter measures (e.g. additional capacitors) are installed on the Producer’s side of the Point of Common Coupling, RPU must review these measures. Additional equipment may be required as determined in a Supplemental Review or an Interconnection Study.

(3) **Inverter Systems.** Utility-interactive inverters do not require separate synchronizing equipment. Non-Utility-interactive or “stand-alone” inverters shall not be used for Parallel Operation with the Distribution System.
b. Supplemental Generating Facility Requirements

(1) Unintended Islanding For Generating Facilities that Fail the Export Screen (Section I.3.b.) Generating Facilities must mitigate their potential contribution to an Unintended Island. This can be accomplished by one of the following options: (1) incorporating Certified Non-Islanding control functions into the Protective Functions, or (2) verifying that local loads sufficiently exceed the Net Nameplate Rating of the Generating Facility, or (3) incorporating a Transfer Trip or an equivalent Protective Function.

(2) Fault Detection. A Generating Facility with an SCCR exceeding 0.1 or that does not meet any one of the options for mitigating Unintended Islands in D.3.b.1 shall be equipped with Protective Functions designed to detect Distribution System faults, both line-to-line and line-to-ground, and promptly cease to energize the Distribution System in the event of a fault. For a Generating Facility that cannot detect these faults within two seconds, a Transfer Trip or equivalent function may be required. Reclose-blocking of RPU’s affected recloser(s) may also be required by RPU for Generators that exceed 15% of the peak load on the Line Section.

E. INTERCONNECTION FACILITY OWNERSHIP AND FINANCING

1. Scope and Ownership of Interconnection Facilities

a. Scope. The Interconnection of a Producer’s Generating Facility with RPU’s Distribution System is made through the use of Interconnection Facilities. Such Interconnection may also require Distribution System improvements. The type, extent and costs of Interconnection Facilities and Distribution System improvements shall be consistent with this Rule and determined through the Initial Review and Interconnection Study described in Section C.

b. Ownership. Interconnection Facilities installed on Producer’s side of the Point of Common Coupling may be owned, operated and maintained by the Producer or RPU. Interconnection Facilities installed on RPU’s side of the Point of Common Coupling and Distribution System improvements shall be owned operated and maintained by RPU.
2. Responsibility for Costs of Interconnecting a Generating Facility

   a. Study and Review Costs. A Producer shall be responsible for the reasonably incurred costs of the reviews and studies conducted pursuant to Section C.1 of this Rule.

   b. Facility Costs. A Producer shall be responsible for all costs associated with Interconnection Facilities owned by the Producer. The Producer shall also be responsible for any costs reasonably incurred by RPU in providing, operating, or maintaining Interconnection Facilities and Distribution System improvements required solely for the Interconnection of the Producer’s Generating Facility with RPU’s Distribution System.

   c. Separation of Costs. Should RPU combine the installation of Interconnection Facilities, or Distribution System improvements with modifications or additions to RPU’s Distribution System to serve other Customers or Producers, RPU shall not include the costs of such separate or incremental facilities in the amounts billed to the Producer for the Interconnection Facilities or Distribution System improvements required pursuant to this Rule.

3. Installation and Financing of Distribution System Improvements

   a. Agreement Required. Costs for Added Facilities shall be paid by the Producer pursuant to the provisions contained in the Generating Facility Interconnection Agreement. Where the type and extent of the Interconnection Facilities and Distribution System improvements warrant additional detail, the detail shall be found in a separate agreement between the Producer and RPU, and RPU’s applicable rate schedules and rules for Added Facilities.

   b. Attachments and Modifications to Distribution System. Except as provided for in Section E.3.c of this Rule, Interconnection Facilities connected directly to RPU’s Distribution System and Distribution System Improvements shall be provided, installed, owned and maintained by RPU as Added Facilities.

   c. Reservation of Unused Facilities. When a Producer wishes to reserve RPU-owned Interconnection Facilities or Distribution System improvements installed and financed as Added Facilities for the Producer, but idled by a change in the operation of the Producer’s Generating Facility or otherwise, Producer may elect to abandon or reserve such facilities consistent with the terms of its agreement with
RPU. If Producer elects to reserve idle Interconnection Facilities or Distribution System improvements, RPU shall be entitled to continue to charge Producer for the costs related to the ongoing operation and maintenance of the Added Facilities.

d. **Refund of Salvage Value.** When a Producer elects to abandon the Added Facilities for which it has either advanced the installed costs or constructed and transferred to RPU, the Producer shall, at a minimum, receive from RPU a credit for the net salvage value of the Added Facilities.

F. **METERING, MONITORING AND TELEMETRY**

1. **General Requirements.** All Generating Facilities shall be metered in accordance with this Section and shall meet all applicable standards of RPU’s applicable rate schedules, rules, and published RPU manuals dealing with metering specifications. The requirements in this Section do not apply to metering of Generating Facilities operating under RPU’s net metering tariff pursuant to California Public Utilities Code Section 2827.

2. **Metering by Third Parties.** The ownership, installation, operation, reading, and testing of metering for Generating Facilities shall be by RPU.

3. **Net Generation Metering.** For purposes of monitoring Generating Facility operation for determination of standby charges and applicable non-bypassable charges as defined in RPU’s rate schedules, and for Distribution System planning and operations, consistent with Section B.4 of these Rules, RPU shall have the right to specify the type, and require the installation of, Net Generation Metering. RPU shall require the provision of Generator output data to the extent reasonably necessary to provide information for the Utility to administer its tariffs or to operate and plan its system. RPU shall only require Net Generating Metering to the extent that less intrusive and/or more cost effective options for providing the necessary Generator output data are not available. In exercising its discretion to require Net Generation Metering, RPU shall consider all relevant factors, including but not limited to:

   a. Data requirements in proportion to need for information;
   b. Customer election to install equipment that adequately addresses RPU’s operational requirements;
   c. Accuracy and type of required metering consistent with purposes of collecting data;
   d. Cost of metering relative to the need for and accuracy of the data;
   e. The project size relative to the cost of the metering/monitoring;
   f. Other means of obtaining the data (e.g. Generator logs, proxy data, etc.);
g. Requirements under any Power Purchase Agreement with the Customer.

4. **Point of Common Coupling Metering.** For purposes of assessing RPU charges for retail service, the electricity Producer’s Point of Common Coupling Metering shall be a bi-directional meter so that power deliveries to and from the electricity Producer’s site can be separately recorded. Alternately, the electricity Producer may, at its sole option and cost, require RPU to install multi-metering equipment to separately record power deliveries to the Distribution System and retail purchases from RPU. Such Point of Common Coupling Metering shall be designed to prevent reverse registration.

5. **Telemetering.** If the nameplate rating of the Generating Facility is 1 MW or greater, Telemetering equipment at the Net Generator Metering location may be required at the electricity Producer’s (and Customer’s) expense. If the Generating Facility is Interconnected to a Distribution System operating at a voltage below 10 kV, then Telemetering equipment may be required on Generating Facilities 250 kW or greater. RPU shall only require Telemetering to the extent that less intrusive and more cost effective options for providing the necessary data in real time are not available.

6. **Location.** Where RPU-owned metering equipment is located on the electricity Producer’s (or Customer’s) Premises, electricity Producer (and Customer) shall provide, at no expense to the RPU, a suitable location for all such metering equipment.

7. **Costs of metering.** The electricity Producer (and Customer) will bear all costs of the metering required by this Rule, including the incremental costs of operating and maintaining the metering.

**G. DISPUTE RESOLUTION PROCESS**

Any disputes arising from this Rule shall be submitted in writing by the Producer or Customer to the Board of Public Utilities for resolution. Their decision shall be final.

**H. DEFINITIONS**

**Active Anti-Islanding Scheme:** A control scheme installed with the Generating Facility that senses and prevents the formation of an Unintended Island.

**Added Facilities:** Facilities provided by the Utility which are in addition to, or in substitution for, the standard facilities which the Utility would normally install.

**Applicant:** The entity submitting an Application for Interconnection pursuant to this Rule.
**Application:** A standard RPU form submitted to RPU requesting Interconnection of a Generating Facility.

**Certification Test:** A test pursuant to this Rule that verifies conformance of certain equipment with RPU-approved performance standards in order to be classified as Certified Equipment. Certification Tests are performed by NRTLs.

**Certification; Certified; Certificate:** The documented results of a successful Certification Testing.

**Certified Equipment:** Equipment that has passed all required Certification Tests.

**Commissioning Test:** A test performed during the commissioning of all or part of a Generating Facility to achieve one or more of the following:
- Verify specific aspects of its performance;
- Calibrate its instrumentation;
- Establish instrument or Protective Function set-points.

**Customer:** The entity that receives or is entitled to receive Distribution Service through the Distribution System.

**Dedicated Transformer; Dedicated Distribution Transformer:** A transformer that provides electricity service to a single Customer. The Customer may or may not have a Generating Facility.

**Distribution Service:** All services required by, or provided to, a Customer pursuant to the approved rate schedules and rules of RPU.

**Distribution System:** All electrical wires, equipment, and other facilities owned or provided by RPU by which RPU provides Distribution Service to its Customers.

**Emergency:** An actual or imminent condition or situation, which jeopardizes the Distribution System Integrity.

**Field Testing:** Testing performed in the field to determine whether equipment meets RPU's requirements for safe and reliable Interconnection.

**Generating Facility:** All Generators that are included in an Interconnection Agreement.

**Generator:** An individual electrical power plant (including required equipment, appurtenances, protective equipment and structures) that is capable of Distributed Generation. A Generator is part of a Generating Facility.

**Gross Nameplate Rating:** The total gross generating capacity of a Generator or Generating Facility as designated by the manufacturer of the Generator.

**Host Load:** Electrical power that is consumed by the Customer at the property on which the Generating Facility is located.

**Initial Review:** The review by RPU, following receipt of an Application, to determine the following:
- a) the Generating Facility qualifies for Simplified Interconnection; or
b) the Generating Facility can be made to qualify for Interconnection with Supplemental Review determining any potential additional requirements; or
c) if neither a nor b, provides the cost estimate and schedule for performing an Interconnection Study.

**In-rush Current:** The current determined by the In-rush Current test.

**Interconnection; (Interconnected):** The physical connection of a Generating Facility in accordance with the requirements of this Rule so that Parallel Operation with the Distribution System can occur (has occurred).

**Interconnection Agreement:** An agreement between RPU and the Producer that gives certain rights and obligations to effect or end Interconnection.

**Interconnection Facilities:** The electrical wires, switches and related equipment that interconnect a Generating Facility to the Distribution System. Interconnection Facilities are part of their related Generating Facilities.

**Interconnection Study:** A study to establish the requirements for Interconnection of a Generating Facility.

**Island; Islanding:** A condition on the Distribution System in which one or more Generating Facilities deliver power to Customers using a portion of the Distribution System that is electrically isolated from the remainder of the Distribution System.

**Line Section:** That portion of the Distribution System connected to a Customer bounded by automatic sectionalizing devices or the end of the distribution line.

**Momentary Parallel Operation:** The Interconnection of a Generating Facility to the Distribution System for one second (60 cycles) or less.

**Nationally Recognized Testing Laboratory (NRTL):** A laboratory accredited to perform the Certification Testing requirements under this Rule.

**Net Energy Metering:** Metering for the receipt and delivery of electricity between the Producer and RPU pursuant Section 2827 of the Public Utilities Code. Over a given time frame (typically a month) the difference between these two values yields either net consumption or surplus. The meter registers are ratcheted to prevent reverse registration. If available, a single meter may be allowed to spin backward to yield the same effect as a directional, two meter (or register) arrangement.

**Net Generation Metering:** Metering of the net electrical power or energy output in kW or kWh, respectively, from a given Generating Facility. This may also be the measurement of the difference between the total electrical energy produced by a Generating Facility and the electrical energy consumed by the auxiliary equipment necessary to operate the Generating Facility. For a Generating Facility with no Host Load or no Public Utilities Code Section 218 Load, metering is located at the Point of Common Coupling. For a Generating Facility with Host Load or Section 218 Load, metering that is located at the Generating Facility bus after the point of auxiliary load(s) and prior to serving Host Load or Section 218 Load.
**Net Nameplate Rating:** The Gross Nameplate Rating minus the consumption of electrical power of a Generator or Generating Facility as designated by the manufacturer(s) of the Generator(s).

**Network Service:** More than one electrical feeder providing Distribution Service at a Point of Common Coupling.

**Non-Export; Non-Exporting:** Designed to prevent the transfer of electrical energy from the Producer to RPU.

**Non-Islanding:** Designed to detect and disconnect from a stable Unintended Island with matched load and generation. Reliance solely on under/over voltage and frequency trip is not considered sufficient to qualify as Non-Islanding.

**Parallel Operation:** The simultaneous operation of a Generator with power delivered or received by RPU while Interconnected. For the purpose of this Rule, Parallel Operation includes only those Generators that are Interconnected with the Distribution System for more than one second (60 cycles).

**Periodic Test:** A test performed on part or all of a Generating Facility at pre-determined time or operational intervals to achieve one or more of the following:

- Verify specific aspects of its performance;
- Calibrate instrumentation;
- Verify and re-establish instrument or Protective Function set-points.

**Point of Common Coupling Metering:** Metering located at the Point of Common Coupling. This is the same metering as Net Generation Metering for Generating Facilities with no Host Load or no Section 218 Load.

**Point of Common Coupling (PCC):** The transfer point for electricity between the electrical conductors of RPU and the electrical conductors of the Producer.

**Point of Interconnection:** The electrical transfer point between a Generator or a Generating Facility and the electrical system. This may or may not be coincident with the Point of Common Coupling.

**Power Purchase Agreement (PPA):** An arrangement for the sale of electricity by the Producer to RPU.

**Producer:** The entity that executes an Interconnection Agreement with RPU. The Producer may or may not own or operate the Generating Facility, but is responsible for the rights and obligations related to the Interconnection Agreement.

**Production Test:** A test performed on each device coming off the production line to verify certain aspects of its performance.

**Protective Function(s):** The equipment, hardware or software in a Generating Facility (whether discrete or integrated with other functions) whose purpose is to protect against Unsafe Operating Conditions.
**Prudent Electrical Practices:** Those practices, methods, and equipment, as changed from time to time, that are commonly used in prudent electrical engineering and operations to design and operate electric equipment lawfully and with safety, dependability, efficiency, and economy.

**Scheduled Operation Date:** The date specified in the Interconnection Agreement when the Generating Facility is, by the Producer’s estimate, expected to begin operation pursuant to this Rule.

**Secondary Network:** A network supplied by several primary feeders suitably interlaced through the area in order to achieve acceptable loading of the transformers under Emergency conditions and to provide a system of extremely high service reliability. Secondary Networks usually operate at 600 V or lower.

**Section 218 Load:** Electrical power that is supplied in compliance with California Public Utilities Code Section 218. Public Utilities Code 218 defines an “Electric Corporation” and provides conditions under which a Generator transaction would not classify a generating entity as an Electric Corporation. These conditions relate to “over-the-fence” sale of electricity from a Generator without using the Distribution System.

**Simplified Interconnection:** Interconnection conforming to the minimum requirements under these rules, as determined by Section I.

**Short Circuit Contribution Ratio (SCCR):** The ratio of the Generating Facility’s short circuit contribution to RPU’s short circuit contribution for a three-phase fault at the high voltage side of the distribution transformer connecting the Generating Facility to RPU’s system.

**Single Line Diagram; Single Line Drawing:** A schematic drawing, showing the major electrical switchgear, protection devices, wires, Generators, transformers and other devices, providing sufficient detail to communicate to a qualified engineer the essential design and safety of the system being considered.

**Stabilization; Stability:** The return to normalcy of the RPU Distribution System, following a disturbance. Stabilization is usually measured as a time period during which voltage and frequency are within acceptable ranges.

**Starting Voltage Drop:** The percentage voltage drop at a specified point resulting from In-rush Current. The Starting Voltage Drop can also be expressed in percentage on a particular base voltage, (e.g. 6 volts on a 120-volt base, yielding a 5% drop).

**Supplemental Review:** A process wherein RPU further reviews an Application that fails one or more of the Initial Review Process screens. The Supplemental Review may result in one of the following: a) Simplified Interconnection; b) approval of Interconnection with additional requirements; or c) cost and schedule for an Interconnection Study.
System Integrity: The condition under which a Distribution System is deemed safe and can reliably perform its intended functions in accordance with the safety and reliability rules of RPU.

Telemetering: The electrical or electronic transmittal of metering data in real-time to RPU.

Transfer Trip: A Protective Function that trips a Generating Facility remotely by means of an automated communications link controlled by RPU.

Type Test: A test performed on a sample of a particular model of a device to verify specific aspects of its design, construction and performance.

Unintended Island: The creation of an Island, usually following a loss of a portion of the Distribution System, without the approval of RPU.

Unsafe Operating Conditions: Conditions that, if left uncorrected, could result in harm to personnel, damage to equipment, loss of System Integrity or operation outside pre-established parameters required by the Interconnection Agreement.

Visible Disconnect: An electrical switching device that can separate the Generating Facility from the Distribution System and is designed to allow visible verification that separation has been accomplished. This requirement can be met by opening the enclosure to observe the contact separation.

I. Initial Review Process for Applications to Interconnect a Generating Facility

1. Introduction. This Initial Review Process was developed to create a path for selection and rapid approval for the Interconnection of those Generating Facilities that do not require an Interconnection Study. The Initial Review process includes a screening to determine if a Supplemental Review is required.

2. Purpose. The Initial Review determines:

   a. If a Generating Facility qualifies for Simplified Interconnection;
   b. If a Generating Facility can be made to qualify for Interconnection with Supplemental Review determining any potential additional requirements, or
   c. If an Interconnection Study is required, the cost estimate and schedule for performing the Interconnection Study.

   NOTE: Failure to pass any screen of the Initial Review means only that further review or studies are required before the Generating Facility can be approved for Interconnection with the RPU Distribution System. It does not mean that the Generating Facility cannot be Interconnected.
Initial Review Process Flow Chart

1. Is the PCC on a Networked Secondary System?
   - No

2. Will Power be exported across the PCC?
   - No

3. Is the Interconnection equipment Certified for the proposed Application? or Does the Interconnection Equipment have Interim RPU Approval?
   - Yes

4. Is the aggregate Generating Facility capacity on the Line Section less than 15% of Line Section peak load?
   - No

5. Is the Starting Voltage Drop screen met?
   - No

6. Is the Gross Nameplate Rating of the Generating Facility capacity 11 kVA or less?
   - No

7. Is the short circuit current contribution screen met?
   - Yes

8. Is the line configuration screen met?
   - No

Does Supplemental Review determine requirements?

Generating Facility qualifies for Simplified Interconnection subject to the provisions of Rule 22

Generating Facility qualifies for Simplified Interconnection subject to the provisions of Rule 22

EC provides cost estimate and schedule for Interconnection Study

Adopted by Board of Public Utilities: June 3, 2011
Approved by City Council: June 21, 2011
Effective Date: August 20, 2011
3. Initial Review Process Details

a. Screen 1: Is the PCC on a Networked Secondary System?
   • If No, continue to next screen
   • If Yes, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review.

Significance:
Special considerations must be given to the Generating Facilities proposed to be installed on networked secondary distribution systems because of the design and operational aspects of network protectors. There are no such considerations for radial distribution systems.

b. Screen 2: Will power be exported across the PCC?
   • If Yes, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review.
   • If No, the Generating Facility must incorporate one of the following four options:

   Option 1:
   To ensure power is never exported, a reverse power Protective Function must be implemented at the PCC.
   Default setting shall be 0.1% (export) of transformer rating, with a maximum 2.0 second time delay.

   Option 2:
   To ensure at least minimum import of power an under-power Protective Function must be implemented at the PCC.
   Default setting shall be 5% (import) of the Generating Facility Gross Nameplate Rating, with maximum 2.0 second time delay.

   Option 3:
   To limit the incidental export of power, all of the following conditions must be met:
   - The aggregate capacity of the Generating Facility must be no more than 25% of the nominal ampere rating of the Customer’s service equipment;
   - The total aggregate Generating Facility capacity must be no more than 50% of the service transformer rating. (This capacity requirement does not apply to Customers taking primary service without an intervening transformer);
   - The Generating Facility must be Certified as Non-Islanding.

   Option 4:
   To ensure that the relative size (capacity) of the Generating Facility compared to facility load results in no export of power without the use of additional devices, the Generating Facility capacity must be no greater than 50% of the Customer's verifiable minimum load over the last 12 months.

Significance:
(1) If it can be assured that the Generating Facility will not export power, RPU's Distribution System does not need to be studied for load-carrying capability or Generating Facility power flow effects on RPU voltage regulators as the Generating Facility will simply be reducing Customer's load on RPU's Distribution System.

(2) Permits use of reverse-power relaying at the PCC as positive anti-Islanding protection.
c. **Screen 3: Is the Interconnection Equipment Certified for the Application or does the Interconnection Equipment have Interim RPU Approval?**
   - If No, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review.
   - If Yes, continue to next screen.

   **Significance:**
   If the Generating Facility has been Certified or previously approved by RPU, RPU does not need to repeat its review and/or test of the Generating Facility’s Protective Functions scheme. Site Commissioning Testing may still be required to ensure that the system is connected properly and that the Protective Functions are working properly.

   Certification indicates the following criteria have been tested and verified:
   - Basic Protective Function requirements.
   - Harmonic distortion limits.
   - Synchronizing requirements.
   - Power factor regulation requirements.
   - Non-Islanding requirements
   - If used, reverse power function requirement.
   - If used, under-power function requirement.

d. **Screen 4: Is the aggregate Generating Facility capacity on the Line Section less than 15% of Line Section Peak Load?**
   - If Yes, continue to next screen.
   - If No, Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review to determine cumulative impact on Line Section.

   **Significance:**
   Low penetration of Generating Facility installations will have a minimal impact on Distribution System and load operation and power restoration.
   The operating requirements for a high penetration of Generating Facilities may be different since the impact on RPU’s Distribution System operation will no longer be minimal, therefore requiring additional study or controls.

e. **Screen 5: Is the Starting Voltage Drop Within Acceptable Limits?**
   - If Yes, continue to next screen
   - If No, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review to determine cumulative impact on Line Section.

   **NOTICE:** This screen only applies to Generating Facilities that start by motoring the Generator.

   RPU has two options in determining whether Starting Voltage Drop could be a problem; which option to use is at RPU’s discretion.

   **Option 1:**
   RPU may determine that the Generating Facility’s starting In-rush Current is equal to or less than the continuous ampere rating of the Customer’s service equipment.
Option 2:
RPU may determine the impedances of the service distribution transformer (if present) and secondary conductors to Customer’s service equipment and perform a voltage drop calculation. Alternatively, RPU may use tables or nomographs to determine the voltage drop. Voltage drops caused by starting a Generating Unit as a motor must be less than 2.5% for primary Interconnection and 5% for secondary Interconnection.

Significance:
(1) This screen addresses potential voltage fluctuation problems for Generators that start by motoring.
(2) When starting, a Generating Facility should have minimal impact on the service voltage or other RPU Customers.
(3) Passing this screen does not relieve the Producer from ensuring that its Generating Facility complies with the flicker requirements of this Rule, Section D.

f. Screen 6: Is the Gross Nameplate Rating of the Generating Facility 11 kVA or less?
   - If Yes, the Generating Facility qualifies for Simplified Interconnection. Skip remaining screens.
   - If No, continue to next screen

Significance:
The Generating Facility has minimal impact on fault current levels and any potential line overvoltages from loss of system neutral grounding.

g. Screen 7: Is Short Circuit Current Contribution Within Acceptable Limits?
   - If No, the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review.
   - If Yes, continue to next screen.

Short Circuit Current Contribution Screen:
The short circuit current contribution screen consists of two criteria; both of which must be met when applicable:
(1) At primary side (high side) of the Dedicated Distribution Transformer, the sum of the SCCR of all Generating Facilities on the Distribution System circuit may not exceed 0.1.
(2) At secondary (low side) of a shared distribution transformer, the short circuit contribution of the proposed Generating Facility must be less than or equal to 2.5% of the interrupting rating of the Producer’s Service Equipment.

Significance:
No significant Generating Facility impact on:
(1) Distribution System’s short circuit duty
(2) Distribution System fault detection sensitivity
(3) Distribution System relay coordination
(4) Distribution System fuse-saving schemes

If the Generating Facility passes this screen it can be expected that it will have no significant impact on RPU’s Distribution System’s short circuit duty, fault detection sensitivity, relay coordination or fuse-saving schemes.
h. Screen 8: Is the Line Configuration Acceptable for Simplified Interconnection?

- If No, then the Generating Facility does not qualify for Simplified Interconnection. Perform Supplemental Review.
- If Yes, the Generating Facility qualifies for Simplified Interconnection.

**Line Configuration Screen:**
Identify primary distribution line configuration that will serve the proposed Generating Facility. Based on the type of Interconnection to be used for the Generating Facility, determine from the following table if the proposed Generating Facility passes the screen.

<table>
<thead>
<tr>
<th>Primary Distribution Line Type</th>
<th>Type of Interconnection to Primary Distribution Line</th>
<th>Result/Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Three-phase, three wire</td>
<td>Any</td>
<td>Pass screen</td>
</tr>
<tr>
<td>Three-phase, four wire</td>
<td>Single-phase, line-to-neutral</td>
<td>Pass screen</td>
</tr>
</tbody>
</table>

| Three-phase, four wire (For any line that has such a section OR mixed 3 wire & 4 wire) | All others | To pass, aggregate Generating Facility Capacity must be less than or equal to 10% of Line Section Peak Load. |

**Significance:**
If the primary distribution circuit serving the Generating Facility is of a three-wire type, or if the Generating Facility’s Interconnection transformer is single-phase and connected in a line-to-neutral configuration, then there is no concern about overvoltages to RPU’s or other Customer’s equipment caused by loss of system neutral grounding during the operating time of anti-Islanding protection.

J. Testing and Certification Criteria

1. **Introduction**

This Section describes the test procedures and requirements for equipment used for the Interconnection of a Generating Facility to RPU’s Distribution System. Included are Type Testing, Production Testing, Commissioning Testing, and Periodic Testing. The procedures listed rely heavily on those described in applicable Underwriters Laboratory (UL), Institute of Electrical and Electronic Engineers (IEEE), and International Electrotechnical Commission (IEC) documents – most notably UL 1741 and IEEE 929 – as well as the testing described in the New York State Public Service Commission’s Interconnection Requirements. These procedures and requirements were developed prior to the completion of IEEE P1547, *Standard for Distributed Resources Interconnected with Electric Power Systems*, and should be revisited once that standard is published.

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The tests described here, together with the technical requirements in Section D of this Rule, are intended to provide assurance that the Generating Facility’s equipment will not adversely affect RPU’s Distribution System and that a Generating Facility will cease providing power to RPU’s Distribution System under abnormal conditions. The tests were developed assuming a low level of Generating Facility penetration. At high levels of Generating Facility penetration, other requirements and corresponding test procedures may need to be defined.

This test specification also provides a means of certifying equipment. Once a generating unit or device has been certified per this Certification process, it may be considered to be suitable for use as part of a Generating Facility Interconnected with RPU’s Distribution System. Subject to the exceptions described in this Section, RPU will not require a Producer to repeat the design review or test the Protective Functions of equipment that has been certified. It should be noted the Certification process is intended to facilitate Generating Facility Interconnections. Certification is not a prerequisite to interconnect a Generating Facility. The use of non-certified Equipment may be acceptable subject to testing and approval by RPU as discussed below.

2. Certification Criteria

Equipment tested and approved (e.g. listed) by a NRTL as having met both the Type Testing and Production Testing requirements is considered to be Certified Equipment for purposes of Interconnection with RPU’s Distribution System. Certification may apply to either a pre-packaged system or an assembly of components that address the necessary functions. Type Testing may be done in the factory/test lab or in the field. At the discretion of the testing laboratory, field-certification may apply only to the particular installation tested. In such cases, some or all of the tests may need to be repeated at other installations.

The use of Certified Equipment is not a requirement for Interconnection. However, the use of Certified Equipment will simplify the Interconnection approval process by reducing commissioning and additional test requirements. For non-Certified Equipment, some or all of the tests described in this document may be required by RPU for each Generating Facility. The manufacturer or a laboratory acceptable to RPU may perform these tests. Test results for non-Certified Equipment must be submitted to RPU as part of the Application process for RPU’s review and approval under the Supplemental Review. Approval by RPU for equipment used in a particular Application does not guarantee RPU approval for use in other Applications or by other California electric utilities.
When equipment is Certified by a NRTL, the NRTL shall provide to the manufacturer, at a minimum, a Certificate with the following information for each device:

a. Administrative:

   (1) Effective date of Certification or applicable serial number (range or first in series), other proof that Certification is current
   (2) Equipment model number (s)
   (3) Software version, if applicable
   (4) Test procedures specified (including date or revision number)
   (5) Laboratory accreditation (by whom and to what standard)

b. Technical (As appropriate)

   (1) Device rating (kW, kVA, V, A, etc.)
   (2) Maximum available fault current, A
   (3) In-rush Current, A
   (4) Trip points, if factory set (trip value and timing)
   (5) Trip point and timing ranges for adjustable settings
   (6) Nominal power factor or range if adjustable
   (7) If the device/system is Certified for Non-Export and the method used (reverse power or under power)
   (8) If the device/system is Certified Non-Islanding

It is the responsibility of the equipment manufacturer to ensure that Certification information is made publicly available by the manufacturer, the testing laboratory, or by a third party. A sample Certification information form is provided in Appendix 1.

3. **Type Testing**

Type Testing provides a basis for determining that equipment is designed appropriately and meets the specifications for being designated as Certified Equipment under this Rule. The requirements described in this section cover only issues related to Interconnection and are not intended to address device safety or other issues outside the needs of the relationship between RPU and the Producer operating a Generating Facility.
The following table defines the test requirements by technology. Test References that are preceded by “UL 1741” refer to the section numbers of the document that describe the test requirements. While UL 1741 was written specifically for photovoltaic inverters, the requirements are readily adapted to inverter-based Generating Facilities, synchronous machines, induction machines, as well as single/multi-function controllers and protection relays. Until a standardized test procedure is specified, RPU or NRTL shall adapt the procedures referenced in the following table as appropriate and necessary for a machine’s performance and its control and protection system functions.

### Type Tests and Requirements for Interconnection Equipment Certification

<table>
<thead>
<tr>
<th>Type Test</th>
<th>Reference</th>
<th>Inverter</th>
<th>Synchronous Machine</th>
<th>Induction Machine</th>
</tr>
</thead>
<tbody>
<tr>
<td>Utility Interaction</td>
<td>UL 1741 – 39</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>DC Isolation</td>
<td>UL 1741 – 40.1</td>
<td><strong>X</strong></td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Simulated PV Array (Input)</td>
<td>UL 1741 – 41.2</td>
<td><strong>X</strong></td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Dielectric Voltage Withstand</td>
<td>UL 1741 – 44</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Power Factor</td>
<td>UL 1741 – 45.2.2</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Harmonic Distortion</td>
<td>UL 1741 – 45.4</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>DC Injection</td>
<td>UL 1741 – 45.5</td>
<td><strong>X</strong></td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Utility Voltage and Frequency Variation</td>
<td>UL 1741 – 46.2</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Reset Delay</td>
<td>UL 1741 – 46.2.3</td>
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<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Loss of Control Circuit</td>
<td>UL 1741 – 46.4</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Short Circuit</td>
<td>UL 1741 – 47.3</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Load Transfer</td>
<td>UL 1741 – 47.7</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Surge Withstand</td>
<td>J.3.a</td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
<td><strong>X</strong></td>
</tr>
<tr>
<td>Anti-Islanding</td>
<td>J.3.b</td>
<td>(2)</td>
<td>(2)</td>
<td>(2)</td>
</tr>
<tr>
<td>Non-Export</td>
<td>J.3.c</td>
<td>(3)</td>
<td>(3)</td>
<td>(3)</td>
</tr>
<tr>
<td>In-rush Current</td>
<td>J.3.d</td>
<td>(4)</td>
<td>(4)</td>
<td>(4)</td>
</tr>
<tr>
<td>Synchronization</td>
<td>J.3.e</td>
<td>(5)</td>
<td><strong>X</strong></td>
<td>---</td>
</tr>
</tbody>
</table>

**Notes:** **X** = Required;  - = Not required

Table Notes:

1. Reference refers to section number in either UL 1741 or this Rule. References within UL1741 to “photovoltaics” or “inverter” may have to be interrupted by the testing laboratory to appropriately apply the tests to other technologies.
2. Required only if Non-Islanding designation is desired.
3. Required only if Non-Export designation is desired.
4. Required for devices that use RPU power to motor to speed.
5. Required for all synchronous machines as well as inverters that operate as voltage sources when connected to RPU.

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a. **Anti-Islanding Test**
Devices that are tested to and pass the anti-Islanding test procedure described in UL 1741 Section 46.3 will be considered Non-Islanding for the purposes of these Interconnection requirements. This test is required only for devices for which a Certified Non-Islanding designation is desired.

b. **Non-Export Test**
Devices that pass the Non-Export test procedure described in Section J.7.a. will be considered Non-Exporting for the purposes of these Interconnection requirements. This test is required only for devices for which a Certified Non-Export designation is desired.

c. **In-Rush Current Test**
Devices will be tested using the procedure defined in Section J.7.b. to determine the maximum current drawn during this startup process. The resulting In-rush Current is used to estimate the Starting Voltage Drop.

d. **Surge Withstand Capability Test**
Interconnection equipment shall be tested for surge withstand capability (SWC), both oscillatory and fast transient, in accordance with the test procedure defined in IEEE/ANSI C62.45 using the peak values defined in IEEE/ANSI C62.41 Tables 1 and 2 for location category B3. An acceptable result occurs even if the device is damaged by the surge, but is unable to operate or energize RPU’s Distribution System. If the device remains operable after being subject to the surge conditions, previous Type Tests related to RPU protection and power quality will need to be repeated to ensure the unit will still pass those tests following the surge test.

e. **Synchronization Test**
This test verifies that the unit synchronizes within the specified voltage/frequency/phase angle requirements. It is applied to synchronous Generators and inverters capable of operating as voltage-sources while connected to RPU. This test is not necessary for induction Generators or current-source inverters.

The test will start with only one of the three parameters --voltage difference between Generating Facility and RPU Distribution System, frequency difference, or phase angle--outside of the synchronization specification. Initiate the synchronization routine and verify that the Generating Facility is brought within specification prior to synchronization. Repeat the test five times for each of the three parameters.
For manual synchronization with synch check or manual control with auto synchronization, the test must verify that paralleling does not occur until the parameters are brought within specifications.

4. Production Testing
As a minimum, the Utility voltage and frequency variation test procedure described in UL1741 under Manufacturing and Production Tests, Section 68 shall be performed as part of routine production (100 percent) on all equipment used to interconnect Generating Facilities to RPU's Distribution System. This testing may be performed in the factory or as part of a Commissioning Test (Section J.5).

5. Commissioning Testing
Commissioning Testing, where required, will be performed on-site to verify protective settings and functionality. Upon initial Parallel Operation of a Generating Facility, or any time interface hardware or software is changed that may affect the functions listed below, a Commissioning Test must be performed. An individual qualified in testing protective equipment (professional engineer, factory-Certified technician, or licensed electrician with experience in testing protective equipment) must perform Commissioning Testing in accordance with the manufacturer's recommended test procedure to prove the settings and requirements of this Rule.

The RPU has the right to witness Commissioning Tests as described below, or to require written Certification by the installer describing which tests were performed and their results.

Functions to be tested during commissioning, particularly with respect to non-Certified Equipment, may consist of the following:

a. Over-and under-voltage
b. Over- and under-frequency
c. Anti-Islanding (if applicable)
d. Non-Export (if applicable)
e. Inability to energize dead line
f. Time delay restart after Utility source is stable
g. Utility system fault detection (if used)
h. Synchronizing controls (if applicable)
i. Other Interconnection Protective Functions that may be required as part of the Interconnection Agreement

Other checks and tests that may need to be performed include:

a. Verifying final protective settings
b. Trip test
c. In-service test
a. **Certified Equipment**
Generating Facilities qualifying for Simplified Interconnection incorporate Certified Equipment that have, at a minimum, passed the Type Tests and Production Tests described in this document, are judged to have little or no potential impact on RPU's Distribution System. For such Generating Facilities, it is necessary to perform only the following test:

1. Protection settings that have been changed after factory testing will require field verification. Tests will be performed using injected secondary voltages and currents, applied waveforms, a test connection using a Generator to simulate abnormal Utility voltage or frequency, or varying the set points to show that the device trips at the measured (actual) Utility voltage or frequency.

2. Non-Islanding function, if included, will be checked by opening a load break disconnect switch to verify the Interconnection equipment ceases to energize the line and does not re-energize for the required time delay after the switch is closed.

3. Non-Export function, if included, will be checked using secondary injection techniques. This function may also be tested by adjusting the Generating Facility output and local loads to verify that the applicable Non-Export criteria (i.e., reverse power or under power) are met.

The Supplemental Review or an Interconnection Study may impose additional components or additional testing.

b. **Non-Certified Equipment**
Non-Certified Equipment shall be subjected to the appropriate tests described in Type Testing (Section J.3.) as well as those described in Certified Equipment (Section J.5.a.). With RPU approval, these tests may be performed in the factory, in the field as part of commissioning, or a combination of both. RPU, at its discretion, may also approve a reduced set of tests for a particular Application or, for example, if it determines it has sufficient experience with the equipment.

c. **Verification of Settings**
If the testing is part of the commissioning process, then, at the completion of such testing, the Producer shall confirm all devices are set to RPU-approved settings. This step shall be documented in the Commissioning Test Certification.
d. **Trip Test**

Interconnection protective devices (e.g. reverse power relay) that have not previously been tested as part of the Interconnection system with their associated interrupting devices (e.g. contactor or circuit breaker) shall be trip tested during commissioning. The trip test shall be adequate to prove that the associated interrupting devices open when the protective devices operate.

Interlocking circuits between protective devices or between interrupting devices shall be similarly tested unless they are part of a system that has been tested and approved during manufacture.

e. **In-Service Test**

Interconnection protective devices that have not previously been tested as part of the Interconnection system with their associated instrument transformers or that are wired in the field shall be given an in-service test during commissioning. This test will verify proper wiring, polarity, CT/PT ratios, and proper operation of the measuring circuits. The in-service test shall be made with the power system energized and carrying a known level of current. A measurement shall be made of the magnitude and phase angle of each ac voltage and current connected to the protective device and the results compared to expected values.

For protective devices with built-in metering functions that indicate current and voltage magnitudes and phase angles, or magnitudes of current, voltage, and real and reactive power, the metered values may be used for in-service testing. Otherwise, portable ammeters, voltmeters, and phase-angle meters shall be used.

6. **Periodic Testing**

Periodic Testing of Interconnection-related Protective Functions shall be performed as specified by the manufacturer, or at least every four years. All Periodic Tests prescribed by the manufacturer shall be performed. The Producer shall maintain Periodic Test reports or a log for inspection by RPU. Periodic Testing conforming to RPU test intervals for the particular Line Section may be specified by RPU under special circumstances, such as high fire hazard areas.

A system that depends upon a battery for trip power shall be checked and logged once per month for proper voltage. Once every four years, the battery must be either replaced or a discharge test performed.
7. Detailed Type Test Procedures and Requirements
This section describes the additional Type Test procedures necessary to qualify a device as Certified, for use on the RPU Distribution System. These Type Tests are not contained in Underwriters Laboratories UL 1741 Standard Inverters, Converters and Controllers for Use in Independent Power Systems, or other referenced standards.

a. Non-Export Test Procedure

The Non-Export test is intended to verify the operation of relays, controllers and inverters designed to limit the export of power and certify the equipment as meeting the requirements of Screen 2, Options 1 and 2, of the Initial Review Process. Tests are provided for discrete relay packages and for controllers and inverters that include the intended function.

(1) Reverse Power Relay Test

This version of the Non-Export test procedure is intended for stand-alone reverse power and under power relay packages provided to meet the requirements of Options 1 and 2 of the Non-Export Screen in Section I.3.b. It should be understood that in the reverse power application, the relay will provide a trip output with power in the export (toward RPU system) direction.

Step 1: Power Flow Test at Minimum, Midpoint and Maximum Pickup Level Settings
Determine the appropriate secondary pickup current for the desired export power flow of 0.5 secondary watts (the agreed-upon minimum pickup setting assumes 5 Amp and 120V CT/PT secondary). Apply Nominal Voltage with minimum current setting at 0 degrees in the trip direction. Increase the current to pick up level. Observe the relay’s (LCD or computer display) indication of power values. Note the indicated power level at which the relay trips. The power indication should be within 2 percent of the expected power. For relays with adjustable settings, repeat this test at the midpoint, and maximum settings. Repeat at phase angles of 90, 180, and 270 degrees and verify that the relay does NOT operate (measured watts will be zero or negative).
Step 2: Leading Power Factor Test
Apply rated voltage with a minimum pickup current setting (calculated value for system Application) and apply a leading power factor load current in the non-trip direction (current lagging voltage by 135 degrees). Increase the current to relay rated current and verify that the relay does NOT operate. For relays with adjustable settings, this test should be repeated at the minimum, midpoint, and maximum settings.

Step 3: Minimum Power Factor Test
At Nominal Voltage and with the minimum pickup (or ranges) determined in Step 1, adjust the current phase angle to 84 or 276 degrees. Increase the current level to pickup (about 10 times higher than at 0 degrees) and verify that the relay operates. Repeat for angles 90, 180, and 270 degrees and verify that the relay does NOT operate.

Step 4: Negative Sequence Voltage Test
Using the pickup settings determined in Step 1, apply rated relay voltage and current at 180 degrees from tripping direction, to simulate normal load conditions (for 3-phase relays, use $I_a$ at 180, $I_b$ at 60 and $I_c$ at 300 degrees). Remove Phase-1 voltage and observe that the relay does not operate.
Repeat for phase-2 and 3.

Step 5: Load Current Test
Using the pickup settings determined in Step 1, apply rated voltage and current at 180 degrees from the tripping direction, to simulate normal load conditions (use $I_a$ at 180, $I_b$ at 300 and $I_c$ at 60 degrees). Observe that the relay does NOT operate.

Step 6: Unbalanced Fault Test
Using the pickup settings determined in Step 1, apply rated voltage and 2 times rated current, to simulate an unbalanced fault in the non-trip direction (use $V_a$ at 0 degrees, $V_b$ and $V_c$ at 180 degrees, $I_a$ at 180 degrees, $I_b$ at 0 degrees and $I_c$ at 180 degrees). Observe that the relay, especially single phase, does not misoperate.

Step 7: Time Delay Settings Test
Apply Step 1 settings and set time delay to minimum setting. Adjust the current source to the appropriate level to determine operating time, and compare against calculated values. Verify that the timer stops when the relay trips. Repeat at midpoint and maximum delay settings.
Step 8: Dielectric Test  
Perform the test described in IEC 414 using 2 kV RMS for 1 minute.

Step 9: Surge Withstand  
Perform the surge withstand test described in IEEE C37.90.1.1989 or the surge withstand test described in Section J.3.d.

(2) Under Power Relay Test

In the underpower application, the relay will provide a trip output when import power (toward the Producer) drops below the specified power level.

Note: For an underpower relay, pickup is defined as the highest power level at which the relay indicates that the power is less than the set setting.

Step 1: Power Flow Test at Minimum, Midpoint and Maximum Pickup Level Settings  
Determine the appropriate secondary pickup current for the desired power flow pickup level of 5% of peak load (the agreed-upon minimum pickup setting). Apply rated voltage and current setting at 0 degrees in the direction of normal load current. Decrease the current to pickup level. Observe the relay’s (LCD or computer display) indication of power values. Note the indicated power level at which the relay trips. The power indication should be within 2 percent of the expected power. For relays with adjustable settings, repeat the test at the midpoint, and maximum settings. Repeat at phase angles of 90, 180, and 270 degrees and verify that the relay operates (measured watts will be zero or negative).

Step 2: Leading Power Factor Test  
Using the pickup current setting determined in step 1, apply rated voltage and rated leading power factor load current in the normal load direction (current leading voltage by 45 degrees). Decrease the current to 145% of the pickup level determined in Step 1 and verify that the relay does NOT operate. For relays with adjustable settings, repeat the test at the minimum, midpoint, and maximum settings.
Step 3: Minimum Power Factor Test
At Nominal Voltage and with the minimum pickup (or ranges) determined in Step 1, adjust the current phase angle to 84 or 276 degrees. Decrease the current level to pickup (about 10% of the value at 0 degrees) and verify that the relay operates. Repeat for angles 90, 180 and 270 degrees and verify that the relay operates for any current less than rated current.

Step 4: Negative Sequence Voltage Test
Using the pickup settings determined in Step 1, apply rated relay voltage and 25% of rated current in the normal load direction, to stimulate light load conditions. Remove Phase-A voltage and observe that the relay does not operate, repeat for phase-B and C.

Step 5: Unbalanced Fault Test
Using the pickup settings determined in Step 1, apply rated voltage and 2 times rated current, to stimulate an unbalanced fault in the normal load direction (use $V_a$ at 0 degrees, $V_b$ and $V_c$ at 180 degrees, $I_a$ at 0 degrees, $I_b$ at 180 degrees, and $I_c$ at 0 degrees). Observe that the relay, especially single phase, operates properly.

Step 6: Time Delay Settings Test
Apply Step 1 settings and set time delay to minimum setting. Adjust the current source to the appropriate level to determine operating time, and compare against calculated values. Verify that the timer stops when the relay trips. Repeat at midpoint and maximum delay settings.

Step 7: Dielectric Test
Perform the test described in IEC 414 using 2 kV RMS for 1 minute.

Step 8: Surge withstand
Perform the surge withstand test described in IEEE C37.90.1.1989 or the surge withstand test described in Section J.3.d.

(3) Functional Test for Inverters and Controllers

Inverters and controllers designed to provide reverse or under power functions shall be tested to certify the intended operation of this function. Two methods are provided.
Method 1: If the controller utilizes external current/voltage measurement to determine the reverse or underpower condition, then the controller shall be functionally tested by application of appropriate secondary currents and potentials as described in the Reverse Power Relay Test, Section J.7.a.(1) of this Rule.

Method 2: If external secondary current or potential signals are not used, then unit-specific tests must be conducted to verify that power cannot be exported across the PCC for a period exceeding two seconds. These tests may be factory tests, if the measurement and control points are part of a single unit, or may be provided for in the field.

b. In-Rush Current Test

This test will determine the maximum In-rush Current drawn by the unit.

1. Locked-Rotor Method
   Use the test procedure defined in NEMA MG-1 (manufacturer’s data is acceptable if available).

2. Start-up Method
   Install and setup the Generating Facility equipment as specified by the manufacturer. Using a calibrated oscilloscope or data acquisition equipment with appropriate speed and accuracy, measure the current draw at the Point of Interconnection as the Generating Facility starts up and parallels with RPU’s Distribution System. Startup shall follow the normal, manufacturer-specified procedure.

   Sufficient time and current resolution and accuracy shall be used to capture the maximum current draw within five percent. In-rush current is defined as the maximum current draw from RPU’s Distribution System during the startup process, using a 10-cycle moving average. During the test, the Utility source, real or simulated, must be capable of maintaining voltage within +/- five percent of rated at the connection to the unit under test. Repeat this test five times. Report the highest 10-cycle current as the In-rush Current.

   A graphical representation of the time-current characteristic along with the Certified In-rush Current must be included in the test report and made available to RPU.
Appendix 1
Utility Interconnection Equipment Certification Form
Utility Interconnection Equipment Certification

The information on this form is provided to indicate the compliance of the generation equipment listed below with the utility interconnection certification requirements defined in this Rule.

Certifying Laboratory  The information on this form is provided by the following Nationally Recognized Testing Laboratory:

Laboratory:_______________________________________________________
Contact Name:______________ Phone:_____________ E-mail:_____________
Address:_________________________________________________________
City:__________________________ State:__________ Zip:________________
Accredited by:________________________ Date:_______________
Accredited to (test standards)¹:_____________________________________

Equipment Specification The information on this form applies to the following equipment:

Equipment Manufacturer: ___________________________________________
Address:_________________________________________________________
City:___________________________ State:__________ Zip:_______________
Model Number(s):_________________________________________________
Software Version(s):_______________________________________________
Effective ²:________________________________________________________________________________________
Device Description ³:________________________________________________________________________________
_________________________________________________________________________________________________
_________________________________________________________________________________________________
_________________________________________________________________________________________________
_________________________________________________________________________________________________
_________________________________________________________________________________________________
_________________________________________________________________________________________________
Test results
Mark the box next to each requirement that has been met and each test that has been performed and successfully passed. Provide an explanation of any exceptions or omissions on a separate sheet. List additional test documents used on separate sheet.

UL 1741: (Section number listed)

- UL-39
- UL-40.1
- UL-41.2
- UL-44
- UL-45.2.2
- UL-45.4
- UL-45.5
- UL-46.2
- UL-46.2.3
- UL-46.4
- UL-47.3
- UL-47.7
- Optional

IEEE/ANSI C62.45/C62.41 (location Category B3)

California Rule 21: 

Device Rating:

Maximum available fault current, A

In-rush current, A

Trip settings:

<table>
<thead>
<tr>
<th>Setting 1</th>
<th>Setting 2</th>
<th>Setting 3</th>
<th>Setting 4</th>
<th>Setting 5</th>
<th>Factory Settings</th>
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<td>Measured</td>
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<td>/</td>
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<td>Setting</td>
<td>Measured</td>
<td>/</td>
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</tr>
</tbody>
</table>

Nominal Power Factor (Range, if adjustable)

Non Islanding: Yes ___ No ___ Maximum trip time:

Non Export: Yes ___ No ___ Method:

Other:

Adopted by Board of Public Utilities: June 3, 2011
Approved by City Council: June 21, 2011
Effective Date: August 20, 2011
NOTES

1. Accreditation must apply to test standards listed herein.
2. Note here the date of certification, applicable serial number (range or first in series), or other information that indicates which units the certification applies to.
3. List appropriate functions, capabilities, applications, limitations, etc. Use additional sheets as necessary.
4. List all test documents (i.e. UL 1741, IEEE C62.45) and specific procedures (i.e. UL 1741 Sec 39.1 – 39.5, etc.) used to evaluate device’s suitability for utility interconnection.
5. kW, kVA, V, A, etc. as appropriate
6. For devices that use grid power to motor to speed
7. Trip value (Voltage in volts or frequency in Hz) and timing (in cycles). Devices with adjustable settings shall provide test results over the range of settings. For each test setting provide the setting values in the upper box and measured results in the lower box. List device ranges, if adjustable.
8. Provide any additional information that may be useful in evaluating these results such as test configurations, device settings used to meet requirements, etc. Use additional sheets if necessary.